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Attorney's Docket No. 004688.P009

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Dan Kikinis et al.

Examiner: Not yet assigned

Application No.: 09/708,220

Art Unit: 2614

Filed: November 7, 2000

For: Universal Programming System and

Method for Electronic Programming

Guide

MAR 0 5 2001 Technology Center 2600

Assistant Commissioner for Patents

Washington, D.C. 20231

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability or constitutes prior art with respect to the present invention.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

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| XX  | 37 C.F.R. §1.97(b).  |  |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|--|--|
|   | 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:  |  |  |  |  |  |  |  |  |  |
|   | A statement pursuant to 37 C.F.R. §1.97(e) or  |  |  |  |  |  |  |  |  |  |
|   | A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).  |  |  |  |  |  |  |  |  |  |
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|   | (1) A statement pursuant to 37 C.F.R. §1.97(e); and  |  |  |  |  |  |  |  |  |  |
|   | (2) A check for \$180.00 for the fee under 37 C.F.R. \$1.17(p) for submission of the Information Disclosure Statement.   |  |  |  |  |  |  |  |  |  |
| If there  | are any additional charges, please charge Deposit Account No. 02-  |  |  |  |  |  |  |  |  |  |
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|   | Respectfully submitted,  |  |  |  |  |  |  |  |  |  |
| Dated: 2/2  | BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  John J. Ward Reg No. 40,216  |  |  |  |  |  |  |  |  |  |
| 12400 Wilshire Blvd<br>Seventh Floor<br>Los Angeles, CA 9<br>(408) 720-8300 |  |  |  |  |  |  |  |  |  |  |
| I hereby certify tha  | t this correspondence is being deposited with the United States Postal Service as first ficient postage in an envelope addressed to the Assistant Commissioner for Patents, 20231 on February 23, 2001.  (Date of Deposit) |  |  |  |  |  |  |  |  |  |
|   | printed name of person mailing correspondence)  2-23-01  graphure of person mailing correspondence)  |  |  |  |  |  |  |  |  |  |

PTO/SB/08A (10-96) use through 10/31/99. OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE Approved Patent and Trademark Of

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| Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)  INFORMATION DISCLOSURE STATEMENT BY APPLICANT |  |                |           |   |                | Complete if Known                     |  |   |   |              |  |  |
|   |  |                |           |   | App            | olication Number                      | 09/708,220   | 09/708,220  |   |              |  |  |
|   |  |                |           |   | Filir          | ng Date                               | November 7, 2000                                       |   |   |              |  |  |
|   |  |                |           |   | First          | t Named Inventor                      | Dan Kikinis  | Dan Kikinis   |   |              |  |  |
| (use as many sheets as necessary)   |  |                |           |   | Gro            | Group Art Unit 2614                   |  |   |   |              |  |  |
|   |  |                |           |   |                | aminer Name                           | Not yet assign   | Not yet assigned (25) 14 (27) 15 (27) 16 (27) 17 (27) |   |              |  |  |
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| Examiner<br>Initials *  |  | U.S.Patent D   | t Na      | Name of Patentee or Applicant of Cited Document |                |                                       | Date of Publication of<br>Cited Document<br>MM-DD-YYYY |   | if Appropriate  |              |  |  |
| L.  | 5,929,849  |                |           | Kikinis   | Kikinis        |                                       |  | 7-27-1999   |   |              |  |  |
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| Examiner  |  | For            | reign Pa  | tent Document                                   | HEIGN PA       | TENT DOCUMENTS  Name of Patentee or A | Applicant  | Date of P   | ublication of   | Translation? |  |  |
| Initials * Office or Country  |  |                | ntry      | Number  | Date           | of Cited Docum                        | Cited  |   | Document Yes/No   |              |  |  |
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|   |  |                |           |   | OTHER (        | DOCUMENTS                             |  |   |   |              |  |  |
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